

## Nonlinear Lining Design Considering Soil-Lining Interaction, Case Study: Gilavand Tunnel

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Received: 26 Aug 2013

Revised: 30 Dec 2014

### Abstract

There are various methods for the analysis of the interactional behavior of the surrounding land, using the lining structure which is the most common method of designing lining structure tools for the static loads by using the hyper static methods. In recent years, there has been a question that depicts whether this method provides the best results in designing the tunnel structure or not. Due to the nonlinear behavior of the earth surrounding the lining structure, utilizing the lining method could lead to conservative results in the design. If it is possible to somehow find the forces caused by the real behavior of the land surrounding the lining structure influencing the structure and conduct the design based on them, more optimal results would be obtained. This study is based on the actual behavior of the land surrounding the lining structure and the displacement of the structure caused by forces with linear behavior in the static design according to the non-linear behavior of the land around the tunnel structure. The

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behavior is modeled using the non-linear programs and the forces affecting the lining of the structure will be inference. Also there is a case study based on this method in which the soil interaction with the tunnel analysis and designing the lining structure was first performed and eventually the obtained results were compared with the hyper static method. In this paper, analysis of maintenance system with lower thicknesses considering land-shield, indicated that applying the reinforced concrete with 40cm thickness has the potential to tolerate the applied load but lining with 45cm thickness is capable of tolerating the loads of design and it can be concluded that applying the simulation method combined with the soil and structure besides considering the nonlinear behavior of the soil leads to more economical results in a project.

**Keyword:** nonlinear design, soil-lining interaction, Gilavand Tunnel, underground Structure.

## Introduction

Construction of tunnels and underground spaces with any purpose includes both the processes of excavation and shielding [1-8]. The coverage of the areas is done in order to maintain the safety of people and equipment during construction and the realization of the functional demands during operation [9-16]. The knowledge of keeping the earth balanced is the science that implies the rock mass behavior in the transition from the initial equilibrium state to another equilibrium state. The science provides the necessary ground for the design of the

bearing systems and lining the underground spaces in order to prevent the ceiling, floor and walls loss or fragmentation and providing the safety in an economical manner. The easiest way to maintain the tunnel structure's balance includes placing a rigid casing and body after tunneling in a way that any possibility of lateral rocks' change of position is cancelled [7]. On the other hand, it is possible to use flexible bearing tools that do not resist against the lateral rocks and provide the possibility of displacement of the rocks. So the solution of lining problem includes determining the best method based on safety and economy which is combined with other tunneling activities such as ventilation and transportation [8]. The rock and soil mechanics principles are applied in designing the best and most suitable lining. Each of the lining systems are analyzed based on the stress distribution or force and relative or absolute deformation of the structure. In this way, the system stability situation is determined through choosing a proper submission criterion. One of the major difference of the analysis of the underground structures with the analysis of the structures made of synthetic materials used in other fields of engineering is that the design engineer unlike the structural-building does not have wide options of the environmental materials (lateral rocks) or the position of the structure. Then the next analysis of each systems is considering factors such as the stability of the structure, costs and the degree of fitness with the other tunneling activities, it is possible to choose the best system. Another method

was utilized for a tunnel in Cairo in the earth with the combination of sand and clay in 1998 by Joachim et al. It is assumed that the soil and the structure have full continuum at the junction and the deformations are small but this assumption is not true in cases that the soil is made of weaker materials such as sand or mud. In the nonlinear analysis the tunnels surrounded by sand and clay soil with concrete cover, the non-linearity include three important parameters:

1. The nonlinear behavior of concrete structures and soil.
2. The impact of great deformations.
3. The nonlinear contact between the concrete structure and the surrounding soil (slip between the materials).

Youakim et al provided a model of non-linear combination of concrete and soil assuming huge deformations and studied the change of above parameters in the predicted settlement or changes in stress distribution in the tunnel entrance [17]. They used the increased stress-strain formula known as elastic hypochlorite model for the lining concrete structure failure because the concrete is an irreversible material and dependent on load. This model is actually the extension of the hypo elastic basic model with the capacity to calculate biaxial and triaxial stress in which the tensile cracks and compressive failure conditions are considered. Furthermore, the total Lagrangian formula for the analysis of great deformations assuming the nonlinearity of the structure material and geometry as well as great displacements and rotations and small strains were considered in this study [17].

Cao Yuan et al (2011) conducted a study on a water tunnel in China to calculate water hammer to the tunnel structure. Since the tunnel was long, the analysis with common methods was complicated. Also the simulation of the effects of water hammer to the tunnel structure using common methods had many problems due to severe water flow into the tunnel. That is why Cao Yuan et al, used nonlinear multi-scale modeling methods to analyze the tunnel. Not only had this method met their needs to simulate the phenomenon of water hammer along the tunnel, but also it was used for structural details of a part of the tunnel [15]. The main purpose of this study is analyzing the interaction between the host land and the lining structure using distinct element numerical method and design the lining structure based on the obtained results. Finally, the results were compared with the conventional hyper static methods. This study is based on the actual behavior of the land surrounding, the lining structure and the nonlinear behavior and displacement structures which caused by the forces. It should be mentioned that this is different from the linear behavior model in static design mode. In addition, one case study was performed on the Gilavand tunnel and the results were compared to the common linear methods and finally both methods with their diversities were described.

## Numerical Modeling Procedure and Design based on Non-Linear Behavior of Soil

Analyzing the interaction of soil and lining was performed using FLAC4.0 program. The networking of the discrete components was considered on a rigid layer and the vertical and horizontal on the left and right boundaries are fixed and prevent modeling the movement of the soil layers. The properties of the soil and lining materials are shown in Table 1 and 2. For the purpose of simplicity, constant values of Young's modulus and shear strength drainage parameters are used for the soil layer. In the design carried out in the report of the consulting firm, lining design is utilized through the conventional method with respect to the springs with shear vertical stiffness to apply the impact of the soil on the structure. The coefficient of 1.05 is considered for the structure density. Soil motion is controlled by the tension release parameter  $\alpha_{dec}$  and the length of the unshielded  $L_{dec}$  zone. Also these two parameters consider the distance between the shield, soil and injecting grout. In the first step of drilling, the internal pressures ( $P_0$ ) in the drilling section equals the external pressures while the shield is not installed yet. In the second apart, internal pressures are released by the  $\alpha_{dec}$  and in the next step the lining elements are added to the model and in the final step the value of  $\alpha_{dec}$  becomes zero. According to Wittke [18], environmental modeling, applying tunneling and determining the temporary storage system based on the conventional theories and finally determining the rock

load leads for reduced arching resistance of the soil to the point that it is loosened and results in an equivalent force to rock load. According to the proposed method, the efforts made in the structure are mainly created due to its stiffness. The stiffness of the structure mainly depends on the stiffness of the structure, so it is possible to ignore the reinforcing impact in the final analysis of the strength. However in the final stage of controlling the reaction of the structure, the effect of reinforcements must be considered. It should be noted that the proposed method, only covers the ultimate maintenance system analysis with respect to the static loads. In order to determine the efforts by the earthquake in the maintenance structure, it is possible to use conventional methods such as Hshash method. It should be mentioned that methods such as spectral analysis and time analysis are also used in maintenance system design against earthquake but because of the complexity of the methods and the requirement for high computational power of the program, they are not suggested to be used in the tunnel. According to the above statements and considering the proposed method, the following steps are taken for the design of the Gilavand tunnel maintenance system. In this study, the numerical modeling of the soil environment was performed in FLAC software. Then the mechanical specifications of the soil within the arc surrounding the tunnel were reduced into 70% of the initial specification. This value is considered for the inclusion of the reduced mechanical specifications of the soil due to loosening based on

Wittke. Also the soil resistive specifications were created a plastic zone, then by applying the equivalent structure with final lining in the model of calculations continued to achieve perfect balance. At last, after the final calculations, the axial forces and moments generated in the maintenance system were determined. Accordingly, FLAC software was suitable for modeling (Figure 1).

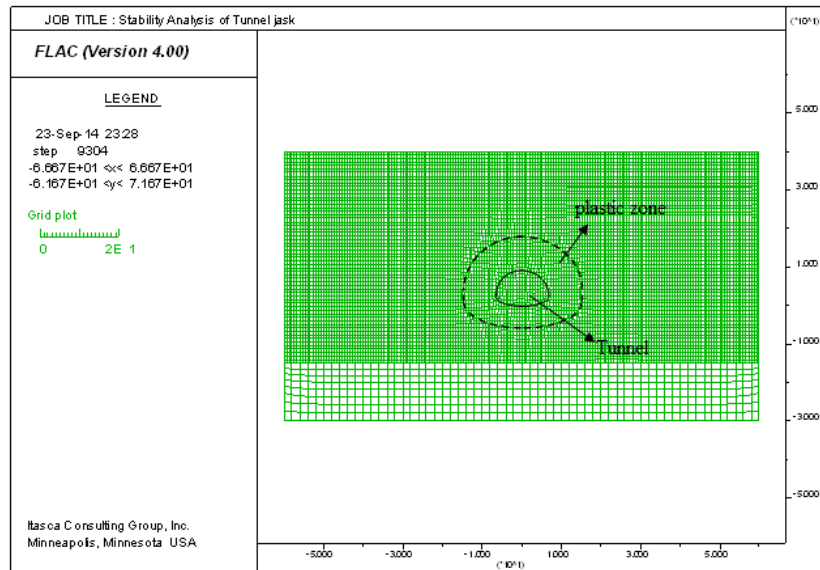


Figure 1. The general schematics of the prepared model and the plastic zone (9m) Plastic zone

Table1. The proposed geo-mechanical parameters of the materials hosting the 1direction tunnel for design based on the Phase 2 Geological report of the tunnel [20]

| Engineering Classification | Mass Resistance (Mpa) | Angle of internal friction ( Degree ) | Coherence C | Horizontal coefficient of soil stress $K_0$ | Elasticity modulus Edm (Mpa) | Density $gr/cm^2$ |
|----------------------------|-----------------------|---------------------------------------|-------------|---|------------------------------|-------------------|
| Unit Qf                    | 1                     | 30                                    | 40          | 0.5   | 300                          | 1.8               |



**Table 2. Specifications considered of lining materials**

| Type of material | Modulus (Kg / cm <sup>2</sup> ) | Poisson coefficient | Resistance                     |
|------------------|---------------------------------|---------------------|--------------------------------|
| Concrete         | 240000                          | 0.2                 | $F_c = 210 \text{ Kg / cm}^2$  |
| Steel (Armature) | 2000000                         | 0.3                 | $F_v = 4200 \text{ Kg / cm}^2$ |

### Case Study

Gilavand bypass route is considered as Tehran-North belt path in the southern part of Gilavand city. The path includes two reciprocating axis, due to the morphology of the mountain area, each axis would have two tunnels. One of them is stony like the Green tuffs of Karaj and the other one is alluvial. In this article, the tunnel in the delta region is analyzed due to greater sensitivity. The general characteristics of these tunnels within the delta region are as follows [22]:

- The right side tunnel: The tunnel length is about 477 meters with a 1% slope outward into the tunnel inlet. The average elevation is 1884 m above the sea level and it will be drilled along 110- N Degree.
- The left side tunnel: The tunnel is about 465 meters long and 0.8% longitudinal slope outward into the tunnel inlet. The average elevation is 1885 m above the sea level and it will be drilled along 110- N Degree and then 130 - N Degree. The distance between the right and left side tunnels is 60 m.

The area of the construction of the Gilavand southern bypass tunnels is along the southern Alborz sedimentary-structural zone. In this area after periods of erosion and deposition of Paleocene (Fajan

conglomerate) the land was covered by a shallow sea which was perfect place for deposition of a former Eocene limestone nummulites (Ziarat Structure) and then green Eocene igneous rock [20]. The gradual subsidence of this shallow basin has led to the formation of a bulk about 3300 meter of tuff and nowadays layers are known as Karaj formation (Eocene). After the shaping of Karaj Formation during Quaternary glacial period and the formation of large floods, a large volume of alluvial zones formed in the foothills and buried the outcrops. An instance of these alluvial lands has covered the surface of the outcrops as an alluvial fan within the area of tunnel 1. The main units surrounding the tunnel include young alluvial deposits and at some points the rock tuff Ekt. According to studies conducted, a large part of the tunnel is hosted by alluvial units. The geo-mechanical characteristics of the host units are a function of alluvial material and their engineering classification is based on visual geology characteristics and laboratory studies. According to the geological studies, the rock mechanics and laboratory results the features of the materials hosting the tunnel are estimated and presented in Table 1-4 [20].

### **The results of designing temporary maintenance**

Considering the relatively large distance between the right and left tunnels and the lack of affecting the drilling of each tunnel on the other one, the stability analysis of one tunnel under overburden critical condition was performed. Designing the method of drilling and

temporary maintenance of these tunnels is performed by the combination of convergence-confinement and two dimensional numerical simulations. In order to use these methods, the ring cut is considered as the drilling method and a combination of shotcrete and steel frame methods are considered as the temporary maintenance systems. The highest overburden on right and left side of the tunnels are related to 3+080 km and equals 40 m. According to the conducted analysis, results for the critical stability section of the tunnel is in  $\nabla+80$  and the highest plastic zone range is around the tunnel. The final results obtained from temporary maintenance system of these tunnels are presented in Table 3.

**Table3. The summery of temporary maintenance system results in the tunnel [22]**

| Section | Drilling method | temporary maintenance system                               | The dead load on the final maintenance system |
|---------|-----------------|--|---|
| 3+080   | Ring-cut        | 20 Cm Shotcrete + IPE18 steel frames with 1 meter distance | Equivalent to 12.5 tons per meter             |

### **The results of lining design based on conventional Hyper Static method**

According to the available reports of Gilavand project, designing the final lining is done by a procedure called Hyper Static method. Accordingly, the design is performed for two various combinations of loading ODE (Operating design earthquake) and it should be controlled for MCE (Maximum credible earthquake). The loading compositions used include [9]:

$$\text{ODE}=1.3\text{OVR}+1.3\text{EQ}+1.05\text{EX} \quad (1)$$

$$MCE=1.0OVR+1.0EQ+1.0EX \quad (2)$$

Where:

OVR : the dead load created by the plastic zone made by drilling

EQ: The load caused by the earthquake

EX: The load caused by the lining weight

Based on this method's analysis and the Sap program, the final maintenance systems is 50 cm of floor lining with 2 rows of  $\phi 25$  armature with 20cm distance. Also in the lateral arcs, the lining is reinforced by two additional rows of  $\phi 25$  armatures. In the analysis, the behavior of the structure is first separately analyzed under dead loads resulting from drilling and the lining weight, then analyzed under the earthquake load in the Sap software. In order to apply the earthquake load, the model of Hashash et al [21] is used. According to this model, using the mechanical characteristics of the land, structure and the features of the earthquake, the maximum displacement created in the structure is calculated and applied as a force of displacement from one point to another from the lining.

In the next parts, the maintenance system was designed by choosing various final maintenance systems (different lining thicknesses). The first design is performed for the intended thickness in the consultant's report and then through step by step adding to the thickness, the thinner maintenance system with lower reinforcement is used. In addition, considering the design performance of the ODE loading and MDE controlling, only the ODE loading is utilized in this research for each section.

Table4. The input parameters for 50 Cm thick lining

| Input parameters - ODE  |                  |           |                   |
|---|------------------|-----------|-------------------|
| Geotechnical Parameters ,   |                  |           |                   |
| Unit Weight of the medium ,   | $\rho_m =$       | 1800      | Kg/m <sup>3</sup> |
| Poisson's ratio of the medium ,   | $\nu_m =$        | 0.25      | -                 |
| Modulus of elasticity of medium ,                                       | $E_m =$          | 3.00E+05  | Kpa               |
| Shear Modulus of medium ,   | $G_m =$          | 120000    | Kpa               |
| Tunnel Parameters ,   |                  |           |                   |
| Lining thickness ,  | $t =$            | 0.5       | m                 |
| Lining diameter ,   | $d =$            | 10        | m                 |
| Lining radius ,   | $r =$            | 5         | m                 |
| Depth below ground surface ,  | $h =$            | 44        | m                 |
| Area of Tunnel Lining (per unit width) ,                                | $A_l =$          | 0.5       | m <sup>2</sup> /m |
| Moment of inertia of the tunnel lining ,                                | $I_c =$          | 0.0104167 | m <sup>4</sup> /m |
| Poisson's ratio of the Concrete ,                                       | $\nu_l =$        | 0.2       | -                 |
| Concrete yield strength ,   | $f_c =$          | 2.40E+04  | Kpa               |
| Concrete Young's Modulus ,  | $E_l =$          | 2.32E+07  | Kpa               |
| Earthquake Parameters ,   |                  |           |                   |
| Magnitude ,   | $M_w =$          | 6.5       | -                 |
| Source to site distance ,   | $d_s =$          | 0-20      | Km                |
| Peak ground particle acceleration at surface ,                          | $a_{max} =$      | 0.15      | g                 |
| Apparent velocity of S-wave propagation in medium ,                     | $C_m =$          | 258.2     | m/s               |
| Ratio of peak ground velocity (cm/s) to peak ground acceleration(g) ,   |                  | 94        | -                 |
| Ratio of peak ground displacement (cm) to peak ground acceleration(g) , |                  | 35        | -                 |
| Ratios of ground motion at depth to motion at ground surface ,          |                  | 0.7       | -                 |
| Ground motion at depth of tunnel ,                                      | $a_s =$          | 0.105     | g                 |
|   | $V_s =$          | 0.0987    | m/s               |
|   | $\gamma_{max} =$ | 0.0003823 | -                 |

### 50cm thick lining

According to ODE loading, the rock load coefficient should be 1.3. Due to the density of the soil, the maximum height of the arc for such force equals:

$$h = \frac{\text{Rock Load}}{\text{Soil density}} \times 1.3 = \frac{12.5}{1.8} \times 1.3 \simeq 9m \quad (3)$$

Due to the earthquake load application, application of the quasi static method (applying the displacement force) is done by first considering the displacement caused by the earthquake as it is

obtained by the method of Hashash et al. In order to summarize the calculations, these relations are presented through formulation in excel.

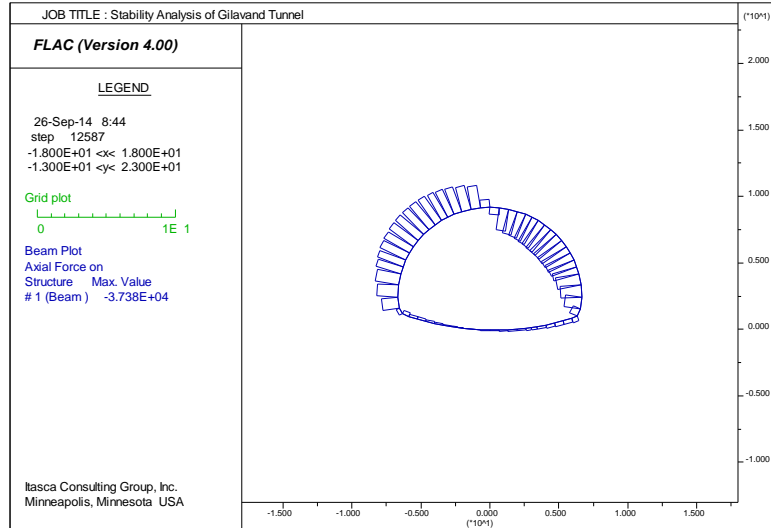
**Table5. The results of 50 cm thick lining**

| Calculation Results |         |  |   |                         |          |
|---------------------|---------|--|---|-------------------------|----------|
| Full Slip Condition | Pavzlen | 1- Determine the racking ratio (Rn) and the displacement term $\Delta d_{free\_field}$ , | $\alpha n =$  | 0.088252                | -        |
|                     |         |  | $Rn =$  | 2.76                    | -        |
|                     |         |  | $\Delta d_{free\_field} =$  | 0.0019                  | m        |
|                     | Wang    | 2- Determined displacement,  | $\Delta dn_{lining1} =$   | 0.00527                 | m        |
|                     |         |  | $F =$   | 19.8297                 | -        |
|                     |         |  | 1-flexibility ratio (F), full-slip lining response coefficient(K1) & Racking ratio, | $K1 =$                  | 0.20853  |
|                     |         | 2- Determined displacement,  | $R =$   | 2.76                    | -        |
|                     |         |  | $\Delta d_{lining2} =$  | 0.00527                 | m        |
|                     |         |  |   |                         |          |
| no Slip Condition   | Pavzlen | 1- Determine the racking ratio (R) .   | $\alpha =$  | 0.100859                | -        |
|                     |         |  | $R =$   | 2.73                    | -        |
|                     |         |  | 2- Determined displacement,   | $\Delta dn_{lining3} =$ | 0.005209 |
|                     | Wang    | 1-Compressibility ratio C, no-slip lining response coefficient(K2) & Racking ratio       | $C =$   | 0.1983                  | -        |
|                     |         |  | $K2 =$  | 1.17633                 | -        |
|                     |         |  | $R =$   | 2.76                    | -        |
|                     |         | 2- Determined displacement,  | $\Delta d_{lining4} =$  | 0.00527                 | m        |
|                     |         |  |   |                         |          |

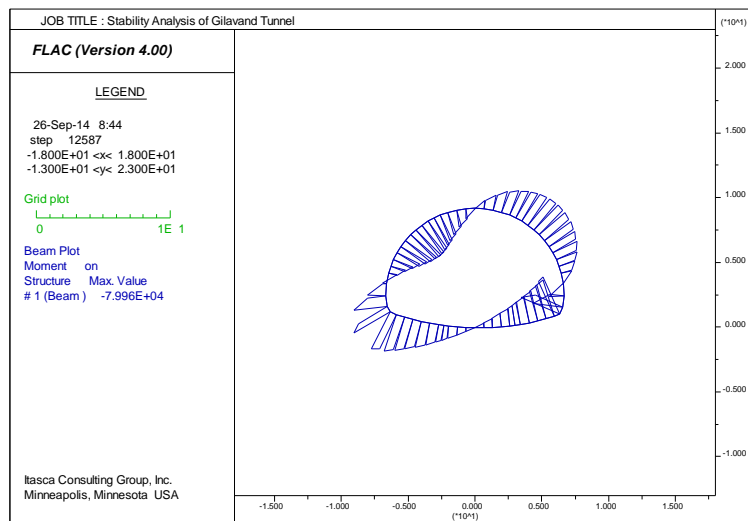
**Final  $\Delta d_{lining}$  is equal to = 0.0053 m**

Based on the above calculations, the maximum displacement applied on the lining is 5.3 mm. Since Hashash et al considered the surrounding land in the calculations for the application of this force, the land surrounding the structure are eliminated at the beginning and then by fixing the structure's floor, the obtained displacement is enforced to the top. In order to observe the loading combination, this value is multiplied by 1.3 and finally entered the program as the criterion of the displacement load (6.9mm). Due to the nature of Hashash et al method and considering that the environment effect is observed in calculating the displacement created in the structure during the earthquake, the induced displacement to the structure is

applied to the structure by omitting the surrounding forces. In these circumstances, the resulting forces and moments generated at the lining in section are represented in Figures 2 and 3.



**Figure2. Axial forces created in the structure after the final calculations**

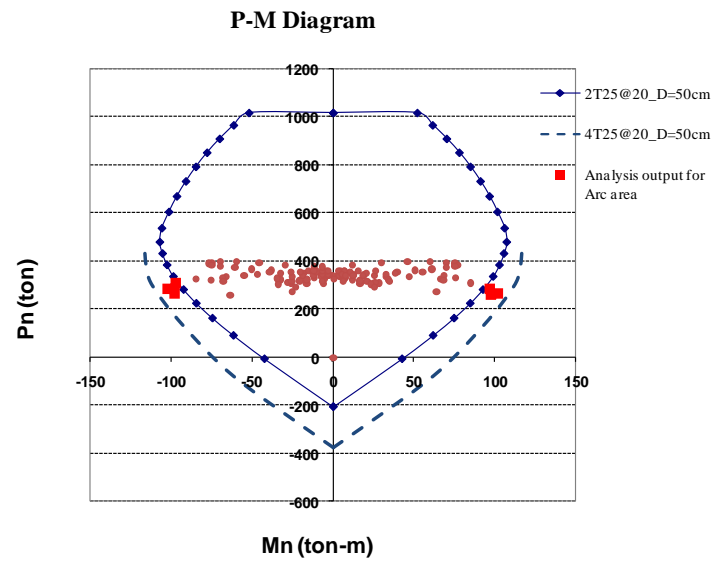


**Figure3. The bending moments created in the structure after the final calculations**

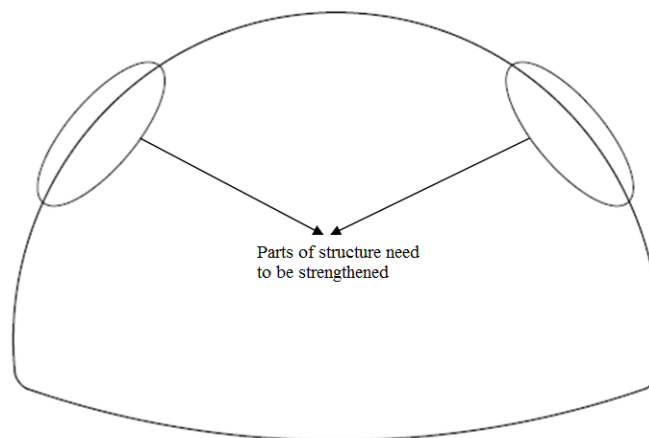
The results of the above analysis include axial forces and bending moments under the static and dynamic loads separately. In order to control the response of the structure, the sum of the forces and moments must be analyzed based on the bearing capacity of the structure. Due to controlling the lining structure, multiple methods are used. Considering the use of the axial force- bending moment push method, the same method is used for analyzing the conditions of the final tunnel structure. In order to determine axial force- bending moment diagram of the reinforced concrete, the Section Builder program was used. Using the loading combination coefficients, the final characteristics of the concrete and the structure for the reinforced concrete section are considered (Table 2).

Based on the properties of the final axial force- bending moment curve is obtained for 50 cm section and considering the 2 reinforcement with 25mm diameter and 20 cm distance (Fig. 4). Also the ratio of axial forces and bending moments created in the structure in two states of applying static and dynamic loads is obtained considering the non-linear behavior of the land and presented by points. The structure has the capacity to tolerate the attempts. Also within the arc (Fig.5) the structure needs to be strengthened, which is why two rows of reinforcements are added. The results of analysis in this part indicate the consistence of the results with the design of the consultant engineers.





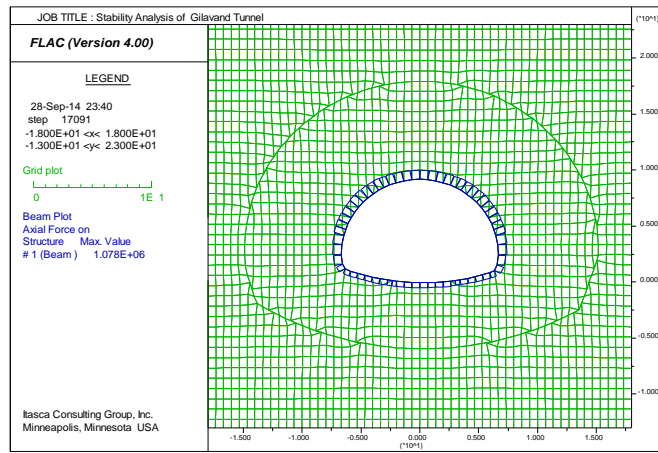
**Figure4.** The capacity of axial force-bearing moment of the structure versus the results of analysis



**Figure 5.** Lateral arc ranges of the structure that need to be strengthened

As previously mentioned, by considering lining thickness of 50 cm and its reinforcement with two rows of armatures with a diameter of 25 mm with 20 cm distance and adding two additional rows within the

lateral arcs, the structure has the ability to tolerate the created forces and moments. As shown in Figure 5, the structure section has the potential to tolerate higher axial forces as well. Since the concrete structure is mainly responsible for bearing axial forces, it is possible to reduce the lining structure thickness by maintaining structural stability. To do so the analysis should be performed through considering lower lining thickness in the Flac Software and then its capability to tolerate new forces and moments was analyzed.



**Figure6. The axial loads created in the structure after the final calculations**

### **Cm lining thickness**

In this part, using lining structures below 50cm thickness is analyzed. Therefore, the carried out analysis will be repeated by considering the lining thickness of 45 cm. After the final calculations, in the level of applying static loads of operation period the axial forces and moments in the maintenance system are considered with respect to the structural lining thickness of 45 cm

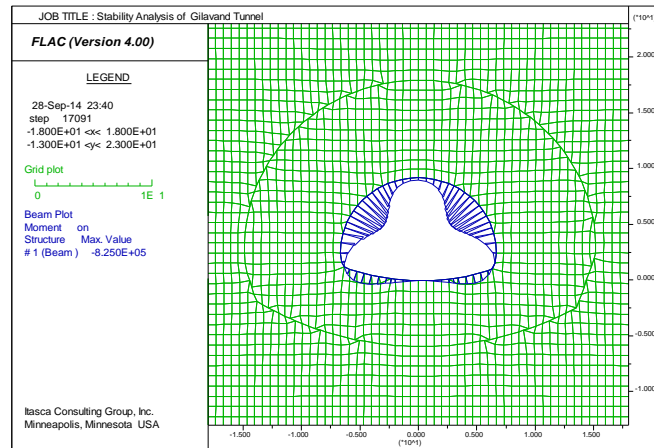


Figure7. The bending moments created in the structure after the final calculations

Table6.The input parameters for lining with the thickness of 45 cm

| Input parameters - ODE  |                  |           |                   |
|---|------------------|-----------|-------------------|
| <b>Geotechnical Parameters ,</b>  |                  |           |                   |
| Unit Weight of the medium ,   | $\rho m =$       | 1800      | Kg/m <sup>3</sup> |
| Poisson's ratio of the medium ,   | $\nu m =$        | 0.25      | -                 |
| Modulus of elasticity of medium ,                                       | $E m =$          | 3.00E+05  | Kpa               |
| Shear Modulus of medium ,   | $G m =$          | 120000    | Kpa               |
| <b>Tunnel Parameters ,</b>  |                  |           |                   |
| Lining thickness ,  | $t =$            | 0.45      | m                 |
| Lining diameter ,   | $d =$            | 10        | m                 |
| Lining radius ,   | $r =$            | 5         | m                 |
| Depth below ground surface ,  | $h =$            | 44        | m                 |
| Area of Tunnel Lining (per unit width) ,                                | $A l =$          | 0.45      | m <sup>2</sup> /m |
| Moment of inertia of the tunnel lining ,                                | $I c =$          | 0.0075938 | m <sup>4</sup> /m |
| Poisson's ratio of the Concrete ,                                       | $\nu l =$        | 0.2       | -                 |
| Concrete yield strength ,   | $f'c =$          | 2.40E+04  | Kpa               |
| Concrete Young's Modulus ,  | $E l =$          | 2.32E+07  | Kpa               |
| <b>Earthquake Parameters ,</b>  |                  |           |                   |
| Magnitude ,   | $M w =$          | 6.5       | -                 |
| Source to site distance ,   | $d s =$          | 0-20      | Km                |
| Peak ground particle acceleration at surface ,                          | $a m a x =$      | 0.15      | g                 |
| Apparent velocity of S <sub>wave</sub> propagation in medium ,          | $C m =$          | 258.2     | m/s               |
| Ratio of peak ground velocity (cm/s) to peak ground acceleration(g) ,   |                  | 94        | -                 |
| Ratio of peak ground displacement (cm) to peak ground acceleration(g) , |                  | 35        | -                 |
| Ratios of ground motion at depth to motion at ground surface ,          |                  | 0.7       | -                 |
| Ground motion at depth of tunnel ,                                      | $a s =$          | 0.105     | g                 |
|   | $V s =$          | 0.0987    | m/s               |
|   | $\gamma m a x =$ | 0.0003823 | -                 |

**Table 7. The calculation results of lining with the thickness of 45 cm**

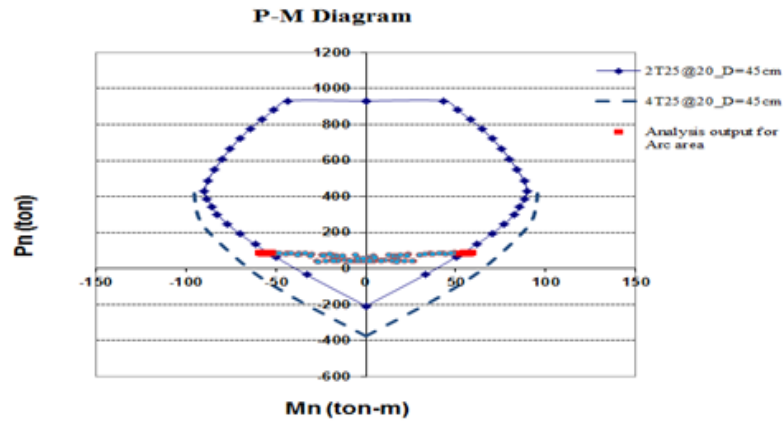
| Calculation Results |                             |  |   |          |         |
|---------------------|-----------------------------|--|---|----------|---------|
| Full Slip Condition | Penzien                     | 1- Determine the racking ratio (Rn) and the displacement term $\Delta d_{free\_field}$ , | $\alpha_n =$  | 0.064335 | -       |
|                     |                             |  | $R_n =$   | 2.82     | -       |
|                     |                             |  | $\Delta d_{free\_field} =$  | 0.0019   | m       |
|                     | Wang                        | 2- Determined displacement,  | $\Delta d_{lining1} =$  | 0.00539  | m       |
|                     |                             |  | $F =$   | 27.2012  | -       |
|                     |                             |  | 1-flexibility ratio (F), full-slip lining response coefficient(K1) & Racking ratio, | $K1 =$   | 0.15543 |
| no Slip Condition   | Penzien                     | 1- Determine the racking ratio (R) ,   | $\alpha =$  | 0.073526 | -       |
|                     |                             |  | $R =$   | 2.79     | -       |
|                     |                             |  | $\Delta d_{lining3} =$  | 0.005341 | m       |
| Wang                | 2- Determined displacement, | $C =$  | 0.2203  | -        |         |
|                     |                             | 1-Compressibility ratio C, no-slip lining response coefficient(K2) & Racking ratio       | $K2 =$  | 1.16596  | -       |
|                     |                             | $R =$  | 2.82  | -        |         |
| no Slip Condition   | Wang                        | 2- Determined displacement,  | $\Delta d_{lining4} =$  | 0.00539  | m       |

**Final  $\Delta d_{lining}$  is equal to = 0.0054 m**

According to Tables 6 and 7, the maximum displacement is 5.4 cm. Since Hashash et al relations calculate the effect of the surrounding land in the calculations for applying the first force surrounding land of the structure which is omitted in the program and then by fixing the structure floor, displacement is applied to the top. Figures (6 and 7).

Using the Section Builder program the final axial force- bending moment push is obtained for 45 cm section and considering the 2 reinforcement with 25mm diameter and 20 cm distance (Fig. 8). Also the ratio of axial forces and bending moments created in the structure in two states of applying static and dynamic loads is obtained from the FLAC software and presented by points. The structure has the capacity to tolerate the attempts. Also within the arc, the structure needs to be strengthened which is why two rows of reinforcements are

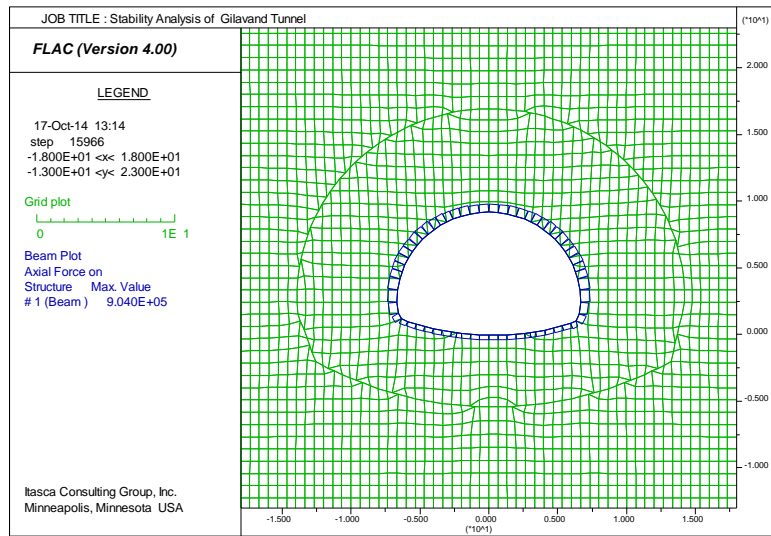
added. The results of analysis in this part indicate that although the level of axial forces in the final structure are increased compared to 50 cm lining, the structure has the ability to resist forces and moments and maintains its stability.



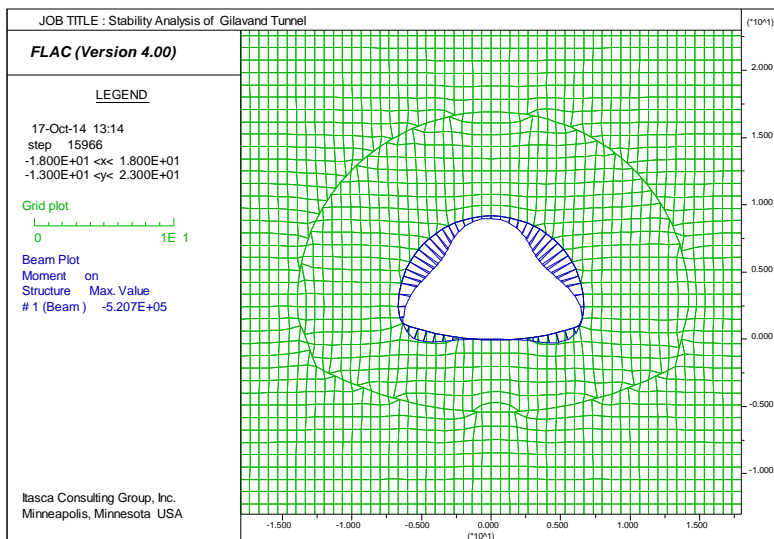
**Figure 8. the capacity of axial force-bearing moment of the structure versus the results of analysis**

### **Cm lining thickness**

The reduction of lining thickness to 45 cm provides the necessary stability for the final structure. In the next stage, the analysis is performed for the lining of 40 cm. After the final calculations, in the level of applying static loads of operation period the axial forces and moments in the maintenance system are considered with respect to the structural lining thickness of 40 cm (Fig 9 and 10).



**Figure9. The axial loads created in the structure after the final calculations**



**Figure10. The bending moments created in the structure after the final calculations**

**Table 8. The input parameters for lining with the thickness of 40 cm**

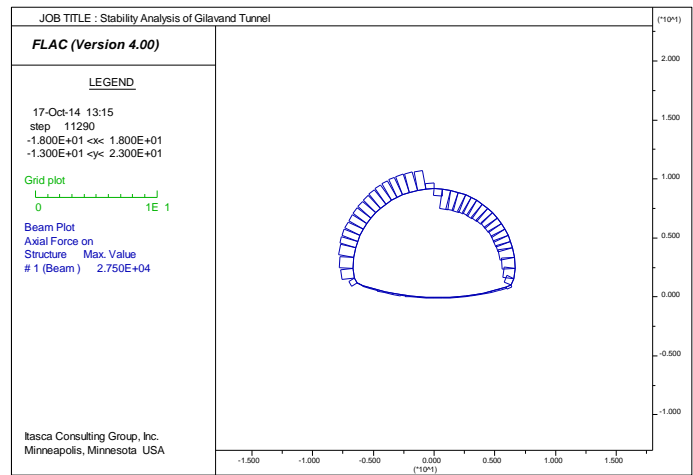
| Input parameters - ODE  |                  |           |                   |
|---|------------------|-----------|-------------------|
| <b>Geotechnical Parameters ,</b>  |                  |           |                   |
| Unit Weight of the medium ,   | $\rho_m =$       | 1800      | Kg/m <sup>3</sup> |
| Poisson's ratio of the medium ,   | $\nu_m =$        | 0.25      | -                 |
| Modulus of elasticity of medium ,                                       | $E_m =$          | 3.00E+05  | Kpa               |
| Shear Modulus of medium ,   | $G_m =$          | 120000    | Kpa               |
| <b>Tunnel Parameters ,</b>  |                  |           |                   |
| Lining thickness ,  | $t =$            | 0.4       | m                 |
| Lining diameter ,   | $d =$            | 10        | m                 |
| Lining radius ,   | $r =$            | 5         | m                 |
| Depth below ground surface ,  | $h =$            | 44        | m                 |
| Area of Tunnel Lining (per unit width) ,                                | $A_l =$          | 0.4       | m <sup>2</sup> /m |
| Moment of inertia of the tunnel lining ,                                | $I_c =$          | 0.0053333 | m <sup>4</sup> /m |
| Poisson's ratio of the Concrete ,                                       | $\nu_l =$        | 0.2       | -                 |
| Concrete yield strength ,   | $f_c =$          | 2.40E+04  | Kpa               |
| Concrete Young's Modulus ,  | $E_l =$          | 2.32E+07  | Kpa               |
| <b>Earthquake Parameters ,</b>  |                  |           |                   |
| Magnitude ,   | $M_w =$          | 6.5       | -                 |
| Source to site distance ,   | $d_s =$          | 0-20      | Km                |
| Peak ground particle acceleration at surface ,                          | $a_{max} =$      | 0.15      | g                 |
| Apparent velocity of S-wave propagation in medium ,                     | $C_m =$          | 258.2     | m/s               |
| Ratio of peak ground velocity (cm/s) to peak ground acceleration(g) ,   |                  | 94        | -                 |
| Ratio of peak ground displacement (cm) to peak ground acceleration(g) , |                  | 35        | -                 |
| Ratios of ground motion at depth to motion at ground surface ,          |                  | 0.7       | -                 |
| Ground motion at depth of tunnel ,                                      | $a_s =$          | 0.105     | g                 |
|   | $V_s =$          | 0.0987    | m/s               |
|   | $\gamma_{max} =$ | 0.0003823 | -                 |

According to the above calculations, the maximum displacement is 5.5 cm. As it can be seen, the reduced thickness up to 10cm does not make a significance difference in the maximum induced displacement. In order to observe the corresponding coefficient of loading combination is multiplied by 1.3 and finally entered the program as the criterion of the displacement load (7.04mm). The results of the forces and moments generated at the lining in section are represented in Figures 11 and 12.

**Table 9. The results of lining with the thickness of 40 cm**

| Calculation Results         |  |  |   |                                      |            |
|-----------------------------|--|--|---|--------------------------------------|------------|
| Full Slip Condition         | Penzien  | 1- Determine the racking ratio (Rn) and the displacement term $\Delta d_{free\_field}$ , | $\alpha_n =$  | 0.045185                             | -          |
|                             |  |  | $R_n =$   | 2.87                                 | -          |
|                             |  |  | $\Delta d_{free\_field} =$  | 0.0019                               | m          |
|                             | Wang   | 2- Determined displacement,  | $\Delta d_{lining1} =$  | 0.00549                              | m          |
|                             |  |  | F =   | 38.7298                              | -          |
|                             |  |  | 1-flexibility ratio (F), full-slip lining response coefficient(K1) & Racking ratio, | K1 =                                 | 0.11117    |
| Wang                        | 2- Determined displacement,  | R =  | 2.87  | -                                    |            |
|                             |  | $\Delta d_{lining2} =$   | 0.00549   | m                                    |            |
|                             |  | no Slip Condition  | Penzien   | 1- Determine the racking ratio (R) , | $\alpha =$ |
| R =                         | 2.85   | -  |   |                                      |            |
| 2- Determined displacement, | $\Delta d_{lining3} =$   | 0.005452   |   |                                      | m          |
| Wang                        | 1-Compressibility ratio C, no-slip lining response coefficient(K2) & Racking ratio | C =  | 0.2479  | -                                    |            |
|                             |  | K2 =   | 1.15533   | -                                    |            |
|                             |  | R =  | 2.87  | -                                    |            |
| Wang                        | 2- Determined displacement,  | $\Delta d_{lining4} =$   | 0.00549   | m                                    |            |

**Final  $\Delta d_{lining}$  is equal to = 0.0055 m**



**Figure11. The axial loads created in the structure after the final calculations**

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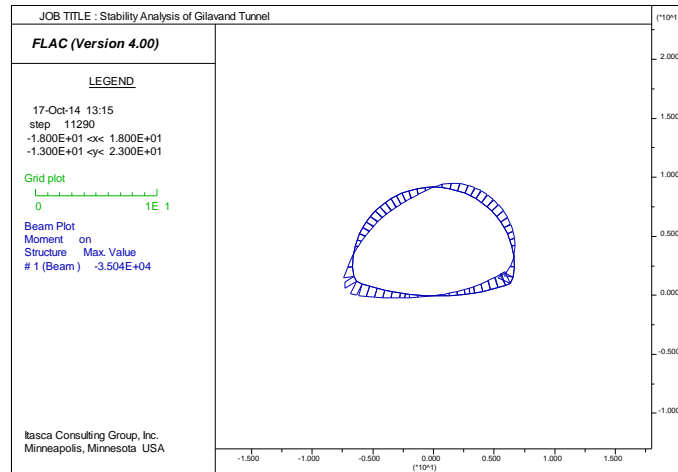


Figure 12. The bending moments created in the structure after the final calculations

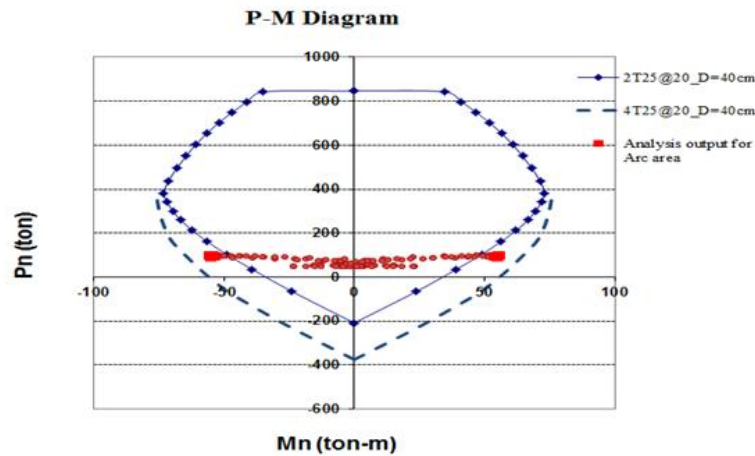


Figure 13, the capacity of axial force-bearing moment of the structure versus the results of analysis

Using the Section Builder program, the final axial force- bending moment push is obtained for 40cm section and considering the 2 reinforcement with 25mm diameter and 20 cm distance (Fig. 13). In addition, the ratio of axial forces and bending moments created in the

structure in two states of applying static and dynamic loads is obtained from the Flac Software and presented by points. Even with considering the steel reinforcement in the arc like the previous models the created moments in the structure are above the tolerance level in some sections and it can be concluded that the structure does not have the necessary stability. The analysis of the induced moments in the structural elements indicate that the values above the tolerance level of the structure are extended from the lining wall up to the upper tips of the arc.

### Conclusion

1. The design of the final structure of the tunnels (lining) is performed through the conventional hyper static method. In this method, the effect of soil reaction is simulated with the Winkler elastic springs through considering the linear behavior for the structure system and the effect of the static loads and the load of earthquake are measured during operation. Finally, the ratio of axial forces and moments created in the structure are controlled by the ultimate resistive limit. The design of the final maintenance systems is 50 cm of floor lining with 2 rows of  $\phi 25$  armature with 20cm distance. In the lateral arcs, the lining is reinforced by two additional rows of  $\phi 25$  armatures while the analysis of the final maintenance system of Gilavand Tunnel is done by separate components and considering the land-shield interaction. The nonlinear behavior of the land indicated that the

results with 50cm thick lining structure and reinforcement is somehow similar to the results obtained from the hyper static method performed by the consultant engineer.

2. Based on the results of the numerical analyses, axial forces and moments created by the earthquake are much smaller than the forces and moments caused by static loads (dead load of the land and the weight of structure) so it can be said that in Gilavand tunnel, the static loads have the main role in designing the final structure. It seems that it is rational to consider that the nature of the land is surrounding the Gilavand Tunnel.
3. Analysis of maintenance system with lower thicknesses considering land-shield, indicated that applying the reinforced concrete with 40cm thickness has the potential to tolerate the applied load but lining with 45cm thickness is capable of tolerating the loads of design and it can be concluded that applying the simulation method combined with the soil and structure besides considering the nonlinear behavior of the soil leads to more economical results in a project.

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